

# Correspondent Application Form

APPLICATION INFORMATION			
Application Type		CAF Primary Contact	
Application Date		Application Completed By	

COMPANY INFORMATION			
Regulatory Company Name		Company Name	
Address		Company NMLS ID	
City		FDIC/NCUA	
State		Business Tax ID	
Zip		Business Entity	
Website		State of Inc. /Org.	
Company Phone		MERS ID	
Company Fax		Fiscal Year End	
Parent Company		Number of Employees	

PRIMARY RELATIONSHIP CONTACTS FOR APPLICANT (i.e. Capital Markets, Secondary, Underwriting, Servicing etc.)				
First Name	Last Name	Email	Phone	Title
First Name	Last Name	Email	Phone	Title
First Name	Last Name	Email	Phone	Title

OWNERSHIP DISCLOSURE (Entity or Person with 10% or greater ownership)						
Type	Company/First Name	Last Name	Email	Phone	Title	Ownership

INSURANCE AND BOND INFORMATION			
<b>Fidelity Bonds</b>			
Carrier Name		Amount of Bond	
Expiration		Phone	

<b>State Surety Bonds</b>			
Carrier Name		Amount of Bond	
Expiration		Phone	

Error and Omissions			
Carrier Name		Policy #	
Expiration		Policy Amount	

Mortgage Insurance			
Carrier Name		Amount of Bond	
Expiration		Phone	

DISCLOSURES	ANSWER	
Has your company ever been named as defendant in a lawsuit, been involved in any criminal proceedings or litigation in the past 7 years? (if yes, please disclose status and general cause of action for any active litigation)	Yes	No
Has your company, and/or principals or corporate officers, ever filed for protection from creditors under any provision of the bankruptcy laws within the past seven years?	Yes	No
Has your company, and/or principals or corporate officers, ever had a real estate or other professional license suspended, revoked or received any other disciplinary action from a regulatory agency?	Yes	No
Has any lender enforced, or attempted to enforce, the Hold Harmless or Repurchase clause of their correspondent or broker agreement with your company and/or any principals or officers in the past 12 months?	Yes	No
Has any investor requested the repurchase of mortgages or requested an indemnity in the last twelve months?	Yes	No
Has your company ever had a Mortgage Insurance Master Policy cancelled or suspended for any reason?	Yes	No
Has your company ever had unfavorable findings regarding mortgage operations, included in any audit examination or report by FHA, VA, FNMA, FHLMC (where applicable) or any regulatory, supervisory or investigating agency?	Yes	No
Has any owner, partner, officer, director of your company ever been affiliated with any company/business that was suspended by FHA, VA, FNMA, or FHLMC?	Yes	No
Has there been a material change in company ownership, board of directors or senior management in the past 12 months? (if yes, please provide a summary)	Yes	No
Does applicant have a process in place to insure compliance with high cost and anti-predatory lending statutes for all applicable federal, state and if necessary local laws, when applicable to the lending products being originated?	Yes	No
Does applicant have written hiring policies and procedures for checking all employees, including management, involved in the origination of mortgage loans (including application through closing) against the U.S General Services Administration (GSA) Excluded Party List?	Yes	No
Does applicant have written hiring policies and procedures for checking all employees, including management, involved in the origination of mortgage loans (including application through closing) against the HUD Limited Denial of Participation List (LDP List)?	Yes	No
Does your company use contract processing services?	Yes	No
Does your company have an Anti-Money Laundering program in place?	Yes	No
Have you initiated or terminated any affiliate relationships in the past 12 months?	Yes	No
Does company follow the recommended quality control guidelines for responsible lending of either Fannie Mae or Freddie Mac?	Yes	No
Has your company ever been suspended from selling or servicing mortgages by any investors?	Yes	No

Has your company ever entered into a supervisory agreement with any regulatory agency?	Yes	No
Are loan audits performed internally?	Yes	No
Have there been any material changes in warehouse lines, new or closed in the past 18 months?	Yes	No
Has there been a material change in the way the company originates loans in the past 12 months?	Yes	No
Has your company undergone any federal, state or agency regulatory review in the past 12 months?	Yes	No
Has there been any Mergers, Sales, Transfers or other disposition of material assets in the past 12 months?	Yes	No
Does applicant solely order its appraisal products via an "AMC" structure that is AIR compliant?	Yes	No
Does applicant maintain compliance with FHA appraisal independence requirements?	Yes	No

AFFILIATE RELATIONSHIPS		ANSWER	
Do any controlling persons, owners', directors or officers have a direct or indirect ownership interest in a Real Estate Sales Company?	Yes	No	
Do any controlling persons, owners', directors or officers have a direct or indirect ownership interest in an Appraisal Company?	Yes	No	
Do any controlling persons, owners', directors or officers have a direct or indirect ownership interest in a Title Company/ Settlement Agent/ Escrow Company or Closing Attorney?	Yes	No	
Do any controlling persons, owners', directors or officers have a direct or indirect ownership interest in a Construction or Home Improvement Company?	Yes	No	
Do any controlling persons, owners', directors or officers have a direct or indirect ownership interest in a Credit Repair Company?	Yes	No	
Do any controlling persons, owners', directors or officers have a direct or indirect ownership interest in a Property Management Company?	Yes	No	
Do any controlling persons, owners', directors or officers have a direct or indirect ownership in any other general affiliate?	Yes	No	

INVESTOR INFORMATION			
<b>Investor Name</b>		<b>Contact Name</b>	
Product Type		Last 12 Months Volume	
Phone		% of Production sold	
Relationship (Years)		Still Active with this investor?	

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Relationship (Years)		Still Active with this investor?	

GENERAL INVESTOR INFORMATION		ANSWER
What % of your company's loan production is sold on a flow basis?		
What % of your company's loan production is sold on a bulk basis?		
What % of your company's loan production is sold on a best efforts basis?		
What % of your company's loan production is sold on a mandatory trade basis?		
What % of your company's loan production is sold on an assignment of trade basis?		

WAREHOUSE INFORMATION			
(Should you have multiple warehouse lines please add a schedule to this application)			
Company Name		Contact Name	
Phone		Relationship (Years)	
Approved Line Amount		% of volume sold	
Do you sell loans to this company?			

AGENCY INFORMATION					
Indicate with which of the following organizations applicant is approved to do business and list the number of loans and dollar amounts.					
Agency Name	Approved	Approval Date	ID Number	# Loans	Amount Serviced
VA					
VA (Automatic Approval)					
FHA					
FHA (Direct Endorsement Designation)					

Agency Name	Approved	Approval Date	Seller Service	# Loans	Amount Serviced
Fannie Mae					
Freddie Mac					

HISTORICAL LOAN PRODUCTION (Current Year to Date)			
Loan Type	Dollar Volume	Number of Loans	Percentage by Dollar Volume
FNMA			
FHLMC			
FHA			

Loan Type	Dollar Volume	Number of Loans	Percentage by Dollar
VA			
Non-Conforming			

HISTORICAL LOAN ASSET TYPE(S) (Current Year to Date)			
Asset Type	Dollar Volume	Number of Loans	Percentage by Dollar Volume
Owner Occupied			
Non-Owner Occupied			
QM Compliant (All types i.e. safe harbor, rebuttal presumption, etc.)			
Non-QM			
Commercial Mortgage Loans			

Production by Origination Channel	Dollar Volume	Number of Loans	Percentage by Dollar Volume
Retail			
Wholesale			
Correspondent			
TPO Aggregation			
<b>Total:</b>			

Lien Position			
First			
Second			
<b>Total:</b>			

ORIGINATION METHODS	ANSWER
What is your average total monthly origination volume?	
How long has your company been using third party origination? (Years)	
How many third-party originators are approved with your company?	
What is the minimum net worth requirements for third party originators to be approved?	
What is the minimum number of years' experience required for third party originators to be approved?	
Do you verify third party originator SAFE Act compliance?	
Do you have a recertification process for third party originators?	
Do you perform pre-funding quality control on third party originated loans?	
Do you perform post funding QC for TPO loans?	

**UNDERWRITING**

Does applicant currently utilize contract-underwriting services (if yes please fill in, if no please note "N/A")?

Company Name		Contact Name	
Phone		Relationship (Years)	

Does applicant utilize contract processing services (if yes please fill in, if no please note "N/A")?

Company Name		Contact Name	
Phone		Relationship (Years)	

**REPURCHASE/INDEMNIFICATION IN PAST 24 MONTHS**

Has Applicant been asked to repurchase or indemnify an investor in the past 24 months (if yes, please describe)?

Has Applicant Repurchased or indemnified an investor in the past 24 months (if yes, please describe)?

**SERVICING INFORMATION (IF APPLICABLE)**

Do you perform loan servicing?	Yes	No		
<b>Servicing Portfolio</b>	<b>Current Period</b>		<b>Prior Year</b>	
	Units	Dollar Volume	Units	Dollar Volume
Conventional Conforming				
Conventional Jumbo				
FHA				

**REFERENCES**

<b>Name</b>		Phone	
Email		Relationship	
Relationship (Years)			

<b>Name</b>		Phone	
Email		Relationship	
Relationship (Years)			

<b>Name</b>		Phone	
Email		Relationship	
Relationship (Years)			